



COLORADO

PBC Core Security Workshop

Workshop 2, September 8



COLORADO

Department of Public Safety

Agenda

Today's Objectives:

- Discuss how the Core Security Audit fits into PBC
- Share Feedback & Ideas for PBC
- Prepare for Next Workshop (PACE on Sep 22)

Description	Time Allotted
Agenda & Introductions <i>Please Enter your Name & Organization in our Chat</i>	10 min
Original PBC Plan: Safety and Security as a Performance Measure	5 Min
Safety, What matters to you?	15 min
What is Core Security Audit Today and it's alignment with PBC Plan	25 min
Review of UI Recommendation	
Pros and Cons of Composite Score vs. Specific Measures for Performance Cycle What is your preference?	30 min
What Standards/Areas would you emphasize	20 min
Next Steps	10 min

Our Shared Vision

What is our objective?

PBC is an innovative, transparent & fiscally responsible strategy ensuring local, safe & accountable providers deliver services & support to community corrections clients.

Why?

The criminal justice system and communities benefit from researched, rehabilitative sentencing options. Local boards and providers serve the diverse clientele with additional OCC support, training and technical assistance resulting in lower recidivism rates.

How will we get there?

This program offers the opportunity to listen and collaborate with community correction stakeholders, apply established research and provide clear, concise guidance to increase the quality and quantity of help & supportive programs to our communities.

Group Agreements



We recommend these ground rules to promote effective collaboration to reach agreement in a diverse group:

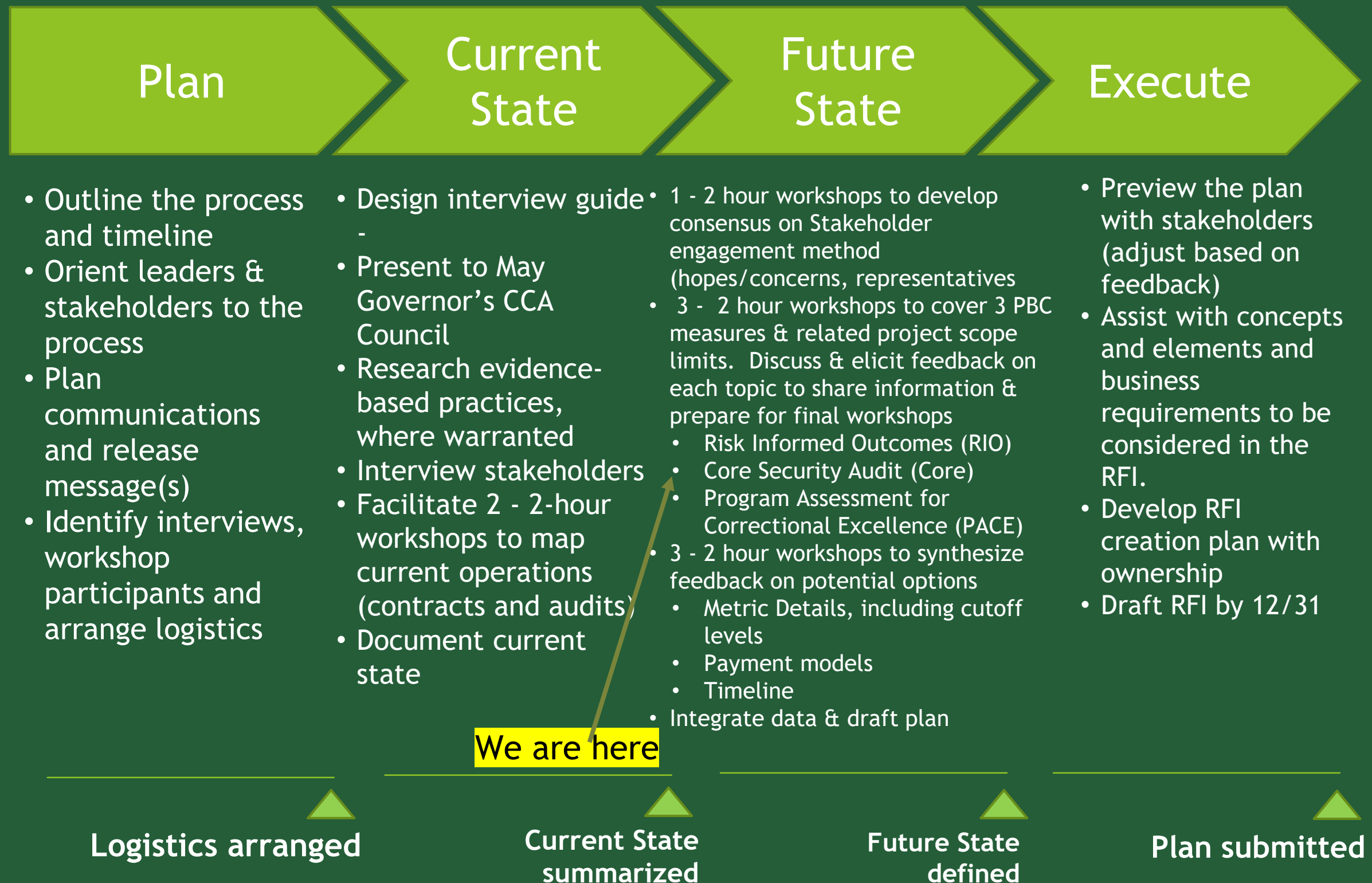
- One person speaks at a time
- Stay on mute unless engaging
- Say what you mean, ask questions to promote understanding
- Tough on problems, easy on people
- Use the past only to describe a better future

Group Agreements Cont.



- Come prepared - review materials in advance, gather & share input from your community, stakeholders, colleagues etc.
- Collaborate - listen, learn and contribute patiently (be a part of the answer, not the answer)
- Focus - stay focused on our scope related to PBC and not conflate other challenges we face in CC
- Public Policy Perspective - favor durable, data-supported evidence & logic, over individual stories, anecdotes, or emotional appeals.
- Constructive orientation - assume positive intent of other stakeholders

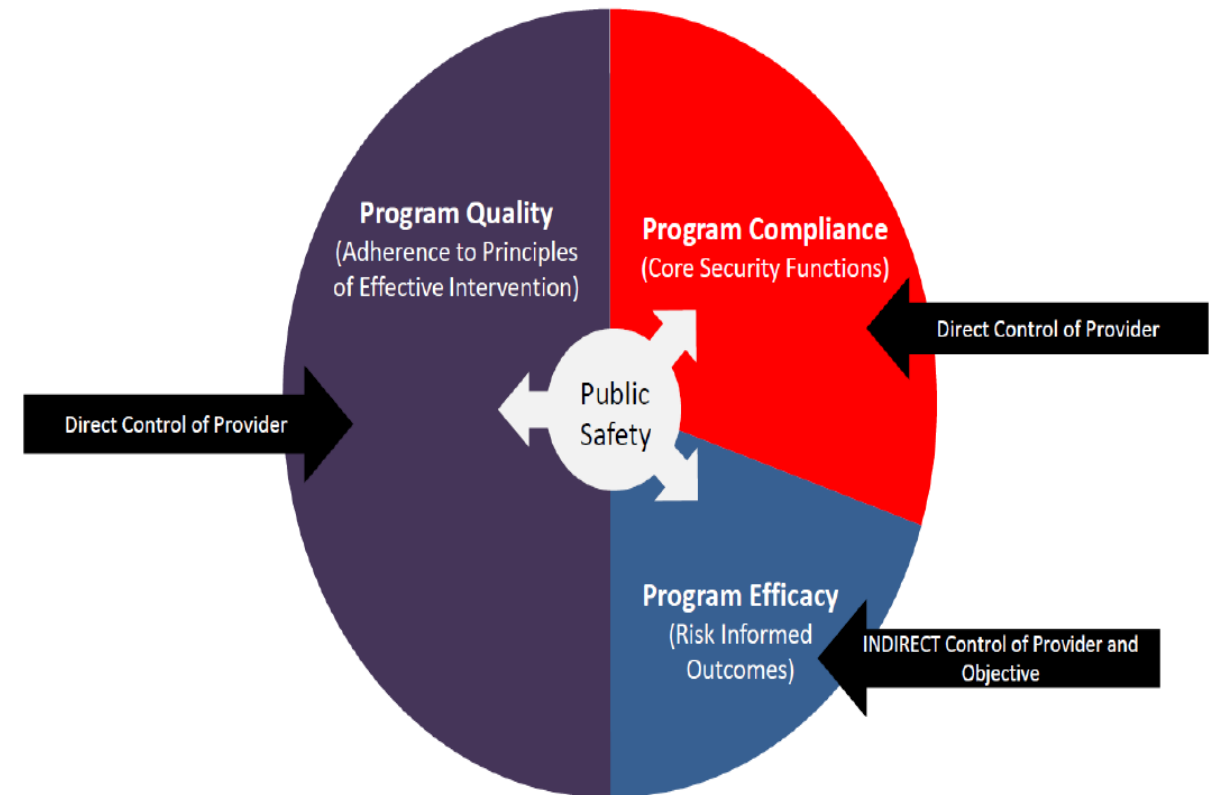
Project Roadmap



The 2015 PBC Plan

Public Safety is comprised of three interdependent domains:

- 1) Compliance with core security functions,
- 2) Adherence to the Principles of Effective Intervention (program quality), and
- 3) Program efficacy using risk-informed outcomes.



The 2015 PBC Plan

Why does the plan include safety and security as a measure of performance?

- The safety of both the public and the individuals in community corrections are critically important.
- A safe environment provides the space and opportunity for individual growth and progress.

Activity:

What matters to you?

When considering both the safety of the surrounding community and safety internal to the program, what aspects of safety matter to you?

Let's go to our NoteApp Board to share and discuss:

[NoteApp - Simple, Collaborative Notetaking | https://noteapp.com](https://noteapp.com)

<https://noteapp.com/UEyheHxaS4>

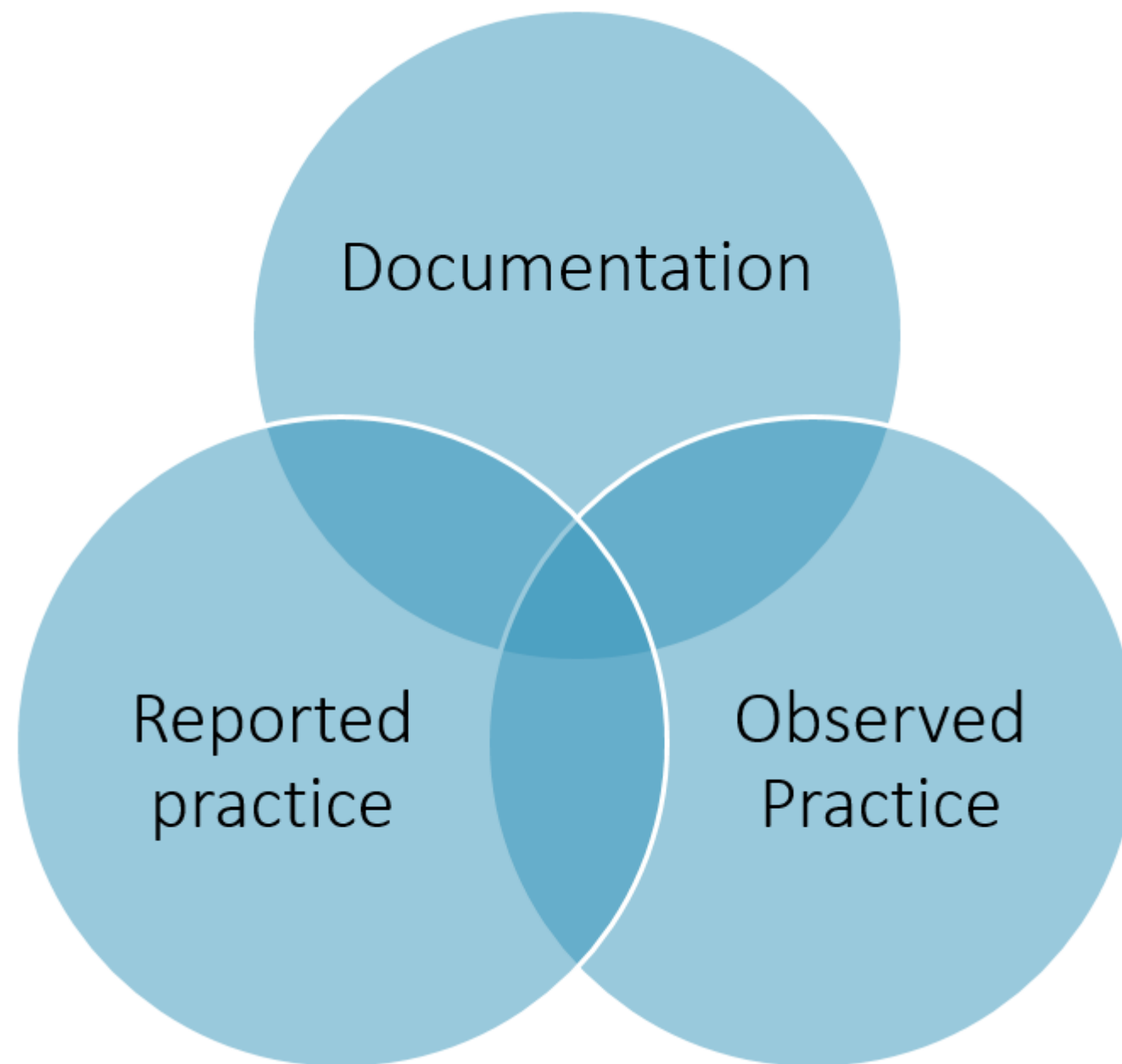
Current Core Security Audit

- What is the Core Security audit?

The Core Security Audit measures adherence to the *Colorado Community Corrections Standards (C.C.C.S.)*. This audit reviews the level of compliance and quality of work with a limited number of the Standards using multiple measurement methods.

- 2017 Standards Revised in collaboration with stakeholders
- Core Security Audit Standards

Current Core Security Audit: Audit Methods



Current Core Security Audit: Audit Methods

Core Security Audit Client Supervision Standards	Client Case File	Program Logs	Sample File Review	Live Observation or Camera Review	Interactive Staff Interview	Staff Interview	Client Interview / Survey
CS-010: Random Headcounts & Facility Walkthroughs		X		X	X	X	X
CS-011: On-Grounds Surveillance				X	X	X	
CS-030: Contraband & CS-020: Client Property	X	X		X	X	X	X
CS-040: Random Off-Site Monitoring	X						
CS-041: Furlough Monitors			X				
CS-042: Job Search	X						
CS-044: Home Visits	X					X	X
CS-050: Recording Authorized Absences	X						
CS-060: Substance Testing Processes					X	X	
CS-061: Entry Urine Sample	X						
CS-062: Interim Drug Testing Processes	X						
CS-063: Confirming Positive Test Results			X				
CS-080: Medications		X		X	X	X	
CS-090: Escape (CS-091 - CS-094)			X				
OMA-020: Milieu Management		X		X		X	X

Current Core Security Audit compared to PBC Plan

PBC Plan

1. Random Off Site Monitoring (4-160)
2. Contraband (4-220)
3. Passes (4-170)
4. UA Compliance (Avg of 4-100, 110, 120, 130)
5. Medications (4-040)
6. Ethical Relationships (2-070)
7. Job Search Accountability (4-161)
8. Self-Audits of Program Operations (3-190)
9. Furloughs (4-171)
10. On Ground Surveillance (4-181)
11. Random Headcounts (4-200)
12. Disciplinary Hearings (3-120)
13. Security Staffing Pattern (4-240)
14. Escape (4-260)

Core Security Audit

CS-010: Random Headcounts & Facility Walkthroughs

CS-011: On-Grounds Surveillance

CS-020: Client Property

CS-030: Contraband

CS-040: Random Off-Site Monitoring

CS-041: Furlough Monitors

CS-042: Job Search

CS-044: Home Visits

CS-050: Recording Authorized Absences

CS-060: Substance Testing Processes

CS-061: Entry Urine Sample

CS-062: Interim Drug Testing Processes

CS-063: Confirming Positive Test Results

CS-080: Medications

CS-090: Escape

CS-091: Direct Sentence Clients (Residential)

CS-092: Condition of Probation Clients

CS-093: Transition and Condition of Parole Clients

CS-094: Direct Sentence Clients (Non-Residential)

OMA-020: Milieu Management

Current Core Security Audit: Report & Scores

OMA-020 Milieu Management		
Compliance Review Criteria		Overall
At Least Two (2) Dedicated Staff on Duty for All Shifts	%	0.00 to 3.00
Number of Shifts with Increased Staffing during the facility's busiest hours (Peak Times)	%	0.00 to 3.00
Board Staffing Requirements Met	%	
Compliance Results		0.00 to 3.00
Quality Review Criteria		Overall
Adequacy of Staff Coverage	0.00 to 3.00	
Adequacy of Staff Practice	0.00 to 3.00	
General Emergency Response Awareness	0.00 to 3.00	
Quality Results		0.00 to 3.00
Final		0.00 to 3.00
"Spirit" & Intent of the Standard		
To guide policy, practices, and procedures to ensure that facilities are sufficiently staffed with personnel that are trained to adequately perform client supervision and any other required duties including responding effectively in emergency situations.		
Compliance Outline		
❖ Staffing Pattern		
Quality Outline		
❖ Adequacy of Staff Coverage		
❖ Adequacy of Staff Practice		
❖ General Emergency Response Awareness		
Comments		
❖		

Current Core Security Audit: Report & Scores

Summary of Core Security Audit Results	
CS-010 Random Headcounts & Facility Walkthroughs	0.00 to 3.00
CS-011 On-Grounds Surveillance	0.00 to 3.00
CS-30 Contraband	0.00 to 3.00
CS-020 Client Property	
CS-040 Random Off-Site Monitoring	0.00 to 3.00
CS-041 Furlough Monitors	0.00 to 3.00
CS-042 Job Search	0.00 to 3.00
CS-044 Home Visits	0.00 to 3.00
CS-050 Recording Authorized Absences	0.00 to 3.00
CS-060 Substance Testing Processes	0.00 to 3.00
CS-061 Entry Urine Sample	0.00 to 3.00
CS-062 Interim Drug Testing Processes	Standard is currently under review
CS-063 Confirming Positive Test Results	0.00 to 3.00
CS-080 Medications	0.00 to 3.00
CS-090 Escape (CS-091 to CS-094)	0.00 to 3.00
OMA-020 Milieu Management	0.00 to 3.00

UI- Recommendations

Recommendation to focus on specific measures with in the CORE for a performance cycle

So, what does this mean?

- Select 2-3 standards or areas of the CORE to incentivize for the performance cycle

What does this mean for regulation?

- PBC does not replace the regulatory role of the DCJ. The OCC will still need to conduct audits.

Activity:

What are the positive and negative considerations (pros/cons) of using a total composite score versus targeted performance areas?

What is your overall preference?

Example of target performance would be selecting 1-3 Core Security Standards to incentivize for the performance cycle.

Activity:

What Standards/Areas would you emphasize?

Whether picking specific standards for incentivizing or using a composite score, some standards could be “weighted”



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Next Steps



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